

# Partial Order Reduction for Trace Abstraction Refinement

Dominik Klumpp  
University of Freiburg

Andreas Podelski  
University of Freiburg

Azadeh Farzan  
University of Toronto

MOVEP 2020

# Motivation

```
i := i-1  
a[i] := 21  
assert a[i] != 0
```

||

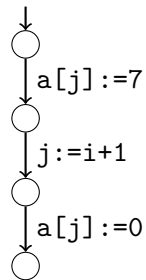
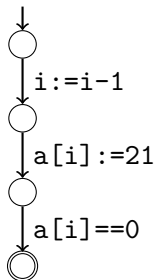
```
a[j] := 7  
j := i+1  
a[j] := 0
```

# Motivation

```
i := i-1  
a[i] := 21  
assert a[i] != 0
```

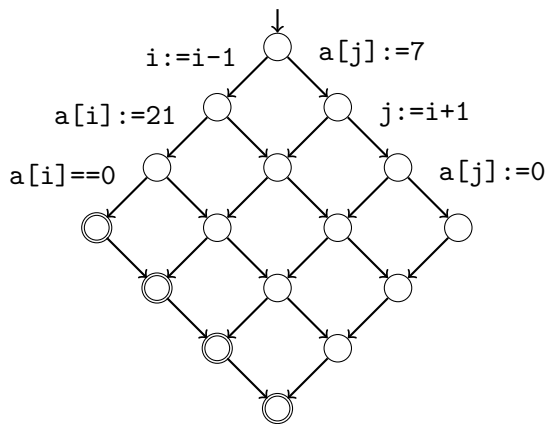
||

```
a[j] := 7  
j := i+1  
a[j] := 0
```



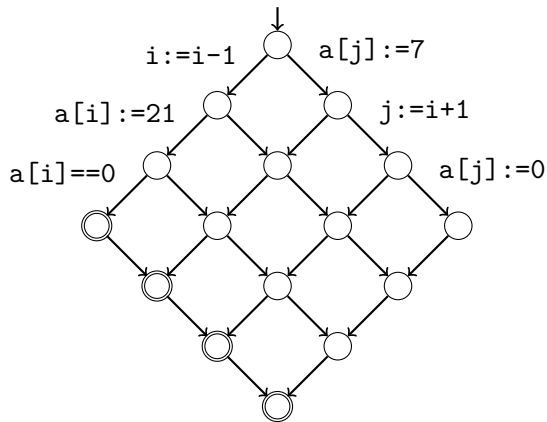
# Motivation

Control Flow Automaton  $P$ :



# Motivation

Control Flow Automaton  $P$ :



**Goal:** Prove  $P$  is correct

i.e. show all accepted  
error traces  $\tau$   
are *infeasible*:

$\{\mathbf{true}\} \tau \{\mathbf{false}\}$   
valid Hoare triple

# Trace Abstraction Refinement

iteratively build Floyd-Hoare automaton

# Trace Abstraction Refinement

iteratively build Floyd-Hoare automaton

$$A = (Q, \Sigma, \mathbf{true}, \Delta, \{\mathbf{false}\})$$

# Trace Abstraction Refinement

iteratively build Floyd-Hoare automaton

$$A = (Q, \Sigma, \mathbf{true}, \Delta, \{\mathbf{false}\})$$

logical formulae  
over program variables



# Trace Abstraction Refinement

iteratively build Floyd-Hoare automaton

$$A = (Q, \Sigma, \text{true}, \Delta, \{\text{false}\})$$

logical formulae  
over program variables

program statements  
taken from  $P$

# Trace Abstraction Refinement

iteratively build Floyd-Hoare automaton

$$A = (Q, \Sigma, \text{true}, \Delta, \{\text{false}\})$$

logical formulae  
over program variables

program statements  
taken from  $P$

such that for all  $(\varphi, st, \psi) \in \Delta$ ,  
Hoare triple  $\{\varphi\} st \{\psi\}$  is valid.

# Trace Abstraction Refinement

iteratively build Floyd-Hoare automaton

$$A = (Q, \Sigma, \text{true}, \Delta, \{\text{false}\})$$

logical formulae  
over program variables

program statements  
taken from  $P$

such that for all  $(\varphi, st, \psi) \in \Delta$ ,  
Hoare triple  $\{\varphi\} st \{\psi\}$  is valid.

$\Rightarrow$  for all  $\tau \in A$ , Hoare triple  
 $\{\text{true}\} \tau \{\text{false}\}$  is valid

# Trace Abstraction Refinement

iteratively build Floyd-Hoare automaton

$$A = (Q, \Sigma, \text{true}, \Delta, \{\text{false}\})$$

logical formulae  
over program variables

program statements  
taken from  $P$

such that for all  $(\varphi, st, \psi) \in \Delta$ ,  
Hoare triple  $\{\varphi\} st \{\psi\}$  is valid.

$\Rightarrow$  for all  $\tau \in A$ , Hoare triple  
 $\{\text{true}\} \tau \{\text{false}\}$  is valid

If  $P \subseteq A$ , then  $P$  is correct.

# Trace Abstraction Refinement

iteratively build Floyd-Hoare automaton

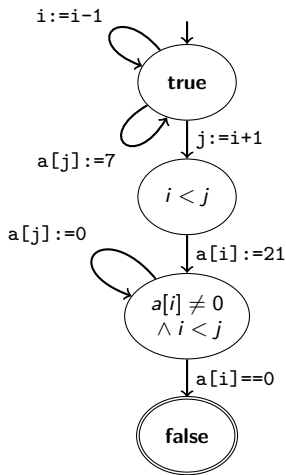
$$A = (Q, \Sigma, \text{true}, \Delta, \{\text{false}\})$$

logical formulae  
over program variables

program statements  
taken from  $P$

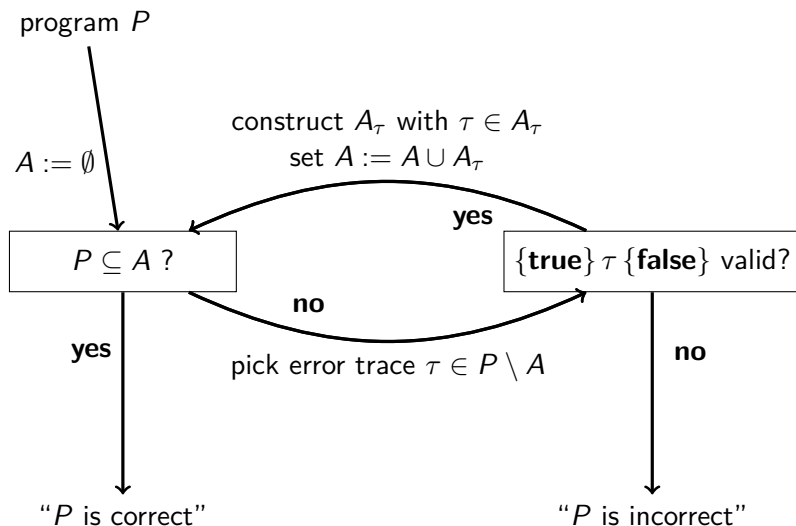
such that for all  $(\varphi, st, \psi) \in \Delta$ ,  
Hoare triple  $\{\varphi\} st \{\psi\}$  is valid.

$\Rightarrow$  for all  $\tau \in A$ , Hoare triple  
 $\{\text{true}\} \tau \{\text{false}\}$  is valid



If  $P \subseteq A$ , then  $P$  is correct.

# Trace Abstraction Refinement



# Partial Order Reduction

error traces:

$\tau_1$  :  $i:=i-1$   $a[j]:=7$   $j:=i+1$   $a[i]:=21$   $a[j]:=0$   $a[i]==0$   
 $\tau_2$  :  $i:=i-1$   $a[j]:=7$   $a[i]:=21$   $j:=i+1$   $a[j]:=0$   $a[i]==0$

# Partial Order Reduction

error traces:

$\tau_1$  :  $i:=i-1$   $a[j]:=7$   $j:=i+1$   $a[i]:=21$   $a[j]:=0$   $a[i]==0$

$\tau_2$  :  $i:=i-1$   $a[j]:=7$   $a[i]:=21$   $j:=i+1$   $a[j]:=0$   $a[i]==0$

- Idea: order of  $a[i]:=21$  and  $j:=i+1$  irrelevant!



# Partial Order Reduction

error traces:

$\tau_1$  :  $i:=i-1$   $a[j]:=7$   $j:=i+1$   $a[i]:=21$   $a[j]:=0$   $a[i]==0$   
 $\tau_2$  :  $i:=i-1$   $a[j]:=7$   $a[i]:=21$   $j:=i+1$   $a[j]:=0$   $a[i]==0$

- Idea: order of  $a[i]:=21$  and  $j:=i+1$  irrelevant!
- Define (*partial*) *commutativity relation*  $I$  over program statements here:  $a[i]:=21$  and  $j:=i+1$  *commute*

# Partial Order Reduction

error traces:

$\tau_1$  :  $i:=i-1$   $a[j]:=7$   $j:=i+1$   $a[i]:=21$   $a[j]:=0$   $a[i]==0$   
 $\tau_2$  :  $i:=i-1$   $a[j]:=7$   $a[i]:=21$   $j:=i+1$   $a[j]:=0$   $a[i]==0$

- Idea: order of  $a[i]:=21$  and  $j:=i+1$  irrelevant!
- Define (*partial*) *commutativity relation*  $I$  over program statements here:  $a[i]:=21$  and  $j:=i+1$  *commute*
- Traces  $\tau_1, \tau_2$  are *equivalent* ( $\tau_1 \sim_I \tau_2$ ) iff

$$\tau_1 = \tau_2 \quad \text{or} \quad \tau_1 = \rho a b \sigma, \tau_2 = \rho b a \sigma \quad \text{or} \quad \exists \tau'. \tau_1 \sim \tau' \sim \tau_2$$

where  $(a, b) \in I$

# Partial Order Reduction

error traces:

$\tau_1$  :  $i:=i-1$   $a[j]:=7$   $j:=i+1$   $a[i]:=21$   $a[j]:=0$   $a[i]==0$

$\tau_2$  :  $i:=i-1$   $a[j]:=7$   $a[i]:=21$   $j:=i+1$   $a[j]:=0$   $a[i]==0$

- Idea: order of  $a[i]:=21$  and  $j:=i+1$  irrelevant!
- Define (*partial*) *commutativity relation*  $I$  over program statements here:  $a[i]:=21$  and  $j:=i+1$  *commute*
- Traces  $\tau_1, \tau_2$  are *equivalent* ( $\tau_1 \sim_I \tau_2$ ) iff

$$\tau_1 = \tau_2 \quad \text{or} \quad \tau_1 = \rho ab\sigma, \tau_2 = \rho ba\sigma \quad \text{or} \quad \exists \tau' . \tau_1 \sim \tau' \sim \tau_2$$

where  $(a, b) \in I$

- Goal: Only analyse one representative of each equivalence class!

# Partial Order Reduction

New proof criterion:

If  $P \subseteq \text{cl}_I(A)$ , then  $P$  is correct.

(for suitable commutativity relation  $I$ )

# Partial Order Reduction

New proof criterion:

If  $P \subseteq cl_I(A)$  then  $P$  is correct.

closure of  $A$   
all traces equivalent  
to some  $\tau \in A$

(for suitable commutativity relation  $I$ )

# Partial Order Reduction

New proof criterion:

If  $P \subseteq cl_I(A)$  then  $P$  is correct.

closure of  $A$   
all traces equivalent  
to some  $\tau \in A$

(for suitable commutativity relation  $I$ )

Algorithmic Check:

$$P \subseteq cl_I(A) \iff \exists \text{ reduction } P' \text{ of } P \text{ s.t. } P' \subseteq A$$

# Partial Order Reduction

New proof criterion:

If  $P \subseteq cl_I(A)$  then  $P$  is correct.

closure of  $A$   
all traces equivalent  
to some  $\tau \in A$

(for suitable commutativity relation  $I$ )

Algorithmic Check:

$P \subseteq cl_I(A) \iff \exists$  reduction  $P'$  of  $P$  s.t.  $P' \subseteq A$

i.e.  $cl_I(P') = P$

# Partial Order Reduction

New proof criterion:

If  $P \subseteq cl_I(A)$  then  $P$  is correct.

closure of  $A$   
all traces equivalent  
to some  $\tau \in A$

(for suitable commutativity relation  $I$ )

Algorithmic Check:

$$P \subseteq cl_I(A) \iff \exists \text{ reduction } P' \text{ of } P \text{ s.t. } P' \subseteq A$$

i.e.  $cl_I(P') = P$

Hence: Compute a (regular) reduction  $P'$  and check  $P' \subseteq A$

- sufficient but (necessarily) incomplete
- more general than checking  $P \subseteq A$



# Concrete Commutativity

# Concrete Commutativity

Definition:

$a$  and  $b$  commute    iff     $\llbracket a \rrbracket \circ \llbracket b \rrbracket = \llbracket b \rrbracket \circ \llbracket a \rrbracket$

# Concrete Commutativity

Definition:

$a$  and  $b$  commute

iff

$$\llbracket a \rrbracket \circ \llbracket b \rrbracket = \llbracket b \rrbracket \circ \llbracket a \rrbracket$$

semantics of statement  $a$   
relation over program states

# Concrete Commutativity

semantics of statement  $a$   
relation over program states

Definition:

$a$  and  $b$  commute iff  $\llbracket a \rrbracket \circ \llbracket b \rrbracket = \llbracket b \rrbracket \circ \llbracket a \rrbracket$

For example:

$$\begin{aligned}\llbracket a[i] := 21 \rrbracket &= \{ (s, s') \mid s' = s \{ a \mapsto \text{store}(s(a), s(i), 21) \} \} \\ \llbracket j := i + 1 \rrbracket &= \{ (s, s') \mid s' = s \{ j \mapsto s(i) + 1 \} \}\end{aligned}$$

Therefore

$$\llbracket a[i] := 21 \rrbracket \circ \llbracket j := i + 1 \rrbracket = \llbracket j := i + 1 \rrbracket \circ \llbracket a[i] := 21 \rrbracket$$

# Concrete Commutativity

semantics of statement  $a$   
relation over program states

Definition:

$a$  and  $b$  commute iff  $\llbracket a \rrbracket \circ \llbracket b \rrbracket = \llbracket b \rrbracket \circ \llbracket a \rrbracket$

For example:

$$\begin{aligned}\llbracket a[i] := 21 \rrbracket &= \{ (s, s') \mid s' = s \{ a \mapsto \text{store}(s(a), s(i), 21) \} \} \\ \llbracket j := i + 1 \rrbracket &= \{ (s, s') \mid s' = s \{ j \mapsto s(i) + 1 \} \}\end{aligned}$$

Therefore

$$\llbracket a[i] := 21 \rrbracket \circ \llbracket j := i + 1 \rrbracket = \llbracket j := i + 1 \rrbracket \circ \llbracket a[i] := 21 \rrbracket$$

Combined with Trace Abstraction Refinement by Cassez et al.

---

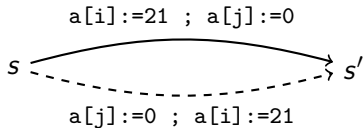
[1] Franck Cassez and Frowin Ziegler. “Verification of Concurrent Programs Using Trace Abstraction Refinement”. In: *Logic for Programming, Artificial Intelligence, and Reasoning*. LNCS. Springer, Nov. 2015, pp. 233–248.

## Conditional Commutativity

Do  $a[i] := 21$  and  $a[j] := 0$  commute?

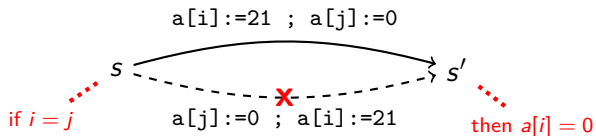
## Conditional Commutativity

Do  $a[i] := 21$  and  $a[j] := 0$  commute?



# Conditional Commutativity

Do  $a[i] := 21$  and  $a[j] := 0$  commute?

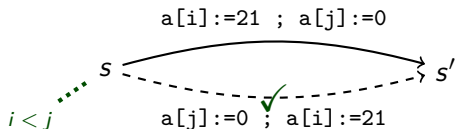


- In general: No!



# Conditional Commutativity

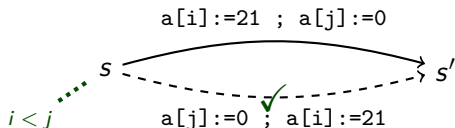
Do  $a[i] := 21$  and  $a[j] := 0$  commute?



- In general: No!
- In our program:  $i < j$  due to assignments  $j := i+1, i := i-1$

# Conditional Commutativity

Do  $a[i] := 21$  and  $a[j] := 0$  commute?



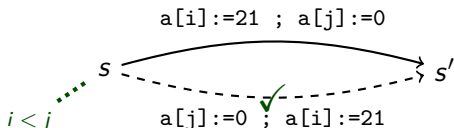
- In general: No!
- In our program:  $i < j$  due to assignments  $j := i+1, i := i-1$
- Godefroid 1996: *conditional* commutativity relation parametrized in state of transition system

---

[2] Patrice Godefroid. *Partial-Order Methods for the Verification of Concurrent Systems: An Approach to the State-Explosion Problem*. Springer, 1996.

# Conditional Commutativity

Do  $a[i] := 21$  and  $a[j] := 0$  commute?



- In general: No!
- In our program:  $i < j$  due to assignments  $j := i+1, i := i-1$
- Godefroid 1996: *conditional* commutativity relation parametrized in state of transition system
- Here: state of Floyd-Hoare automaton  $A$  (i.e. a formula  $\varphi$ ):

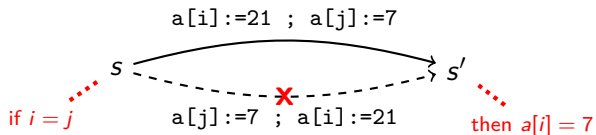
$$(\llbracket a \rrbracket \circ \llbracket b \rrbracket) \cap (\varphi \times \mathbf{true}) = (\llbracket b \rrbracket \circ \llbracket a \rrbracket) \cap (\varphi \times \mathbf{true})$$

# Abstract Commutativity

Do  $a[i] := 21$  and  $a[j] := 7$  commute?

# Abstract Commutativity

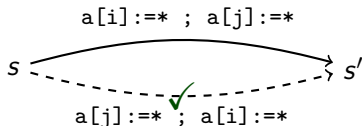
Do  $a[i] := 21$  and  $a[j] := 7$  commute?



- No: order matters in case  $i = j$  (which is possible)

# Abstract Commutativity

Do  $a[i] := 21$  and  $a[j] := 7$  commute?



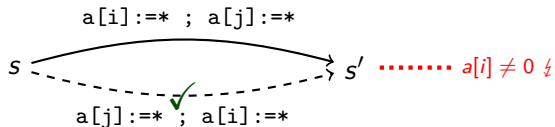
- No: order matters in case  $i = j$  (which is possible)
- In our program: We only care that  $a[i] \neq 0$   
 $\Rightarrow$  find abstractions

---

[3] Tayfun Elmas, Shaz Qadeer, and Serdar Tasiran. “A Calculus of Atomic Actions”. In: *Proceedings of the 36th Annual ACM SIGPLAN-SIGACT Symposium on Principles of Programming Languages*. POPL '09. New York, NY, USA: ACM, 2009, pp. 2–15.

# Abstract Commutativity

Do  $a[i] := 21$  and  $a[j] := 7$  commute?

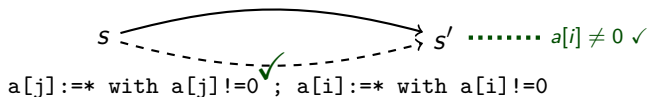


- No: order matters in case  $i = j$  (which is possible)
- In our program: We only care that  $a[i] \neq 0$   
 $\Rightarrow$  find abstractions
- Abstracted program may be unsound!  
 $\Rightarrow$  bound abstraction by proof candidate  $A$

# Abstract Commutativity

Do  $a[i] := 21$  and  $a[j] := 7$  commute?

$a[i] := * \text{ with } a[i] \neq 0 ; a[j] := * \text{ with } a[j] \neq 0$



- No: order matters in case  $i = j$  (which is possible)
- In our program: We only care that  $a[i] \neq 0$   
 $\Rightarrow$  find abstractions
- Abstracted program may be unsound!  
 $\Rightarrow$  bound abstraction by proof candidate  $A$



# Abstract and Concrete Commutativity

# Abstract and Concrete Commutativity

- Abstraction sometimes loses commutativity
- Combine (conditional) concrete and abstract commutativity

## Abstract and Concrete Commutativity

- Abstraction sometimes loses commutativity
- Combine (conditional) concrete and abstract commutativity

New proof criterion:

If  $P \subseteq cl_{concr}(cl_{abstr}(A))$ , then  $P$  is correct.

⇒ develop new partial order reduction algorithms for sufficient check

## Abstract and Concrete Commutativity

- Abstraction sometimes loses commutativity
- Combine (conditional) concrete and abstract commutativity

New proof criterion:

If  $P \subseteq cl_{concr}(cl_{abstr}(A))$ , then  $P$  is correct.

⇒ develop new partial order reduction algorithms for sufficient check

Very general criterion:

$$P \subseteq cl_{concr}(A) \implies P \subseteq cl_{concr}(cl_{abstr}(A))$$

$$P \subseteq cl_{abstr}(A) \implies P \subseteq cl_{concr}(cl_{abstr}(A))$$

# Future Work

## Future Work

- Find suitable notion for abstract commutativity

# Future Work

- Find suitable notion for abstract commutativity
  - currently: capture commutativity given by Owicki-Gries proofs

- Find suitable notion for abstract commutativity
  - currently: capture commutativity given by Owicki-Gries proofs
  - provide theoretical guarantee for commutativity



# Future Work

- Find suitable notion for abstract commutativity
  - currently: capture commutativity given by Owicki-Gries proofs
  - provide theoretical guarantee for commutativity
- Partial order reduction algorithms to check proof criterion

# Future Work

- Find suitable notion for abstract commutativity
  - currently: capture commutativity given by Owicki-Gries proofs
  - provide theoretical guarantee for commutativity
- Partial order reduction algorithms to check proof criterion
  - so far: based on *sleep set* algorithm

# Future Work

- Find suitable notion for abstract commutativity
  - currently: capture commutativity given by Owicki-Gries proofs
  - provide theoretical guarantee for commutativity
- Partial order reduction algorithms to check proof criterion
  - so far: based on *sleep set* algorithm
  - investigate other partial order techniques

# Future Work

- Find suitable notion for abstract commutativity
  - currently: capture commutativity given by Owicki-Gries proofs
  - provide theoretical guarantee for commutativity
- Partial order reduction algorithms to check proof criterion
  - so far: based on *sleep set* algorithm
  - investigate other partial order techniques
- Empirical evaluation: effectiveness for verification

# Future Work

- Find suitable notion for abstract commutativity
  - currently: capture commutativity given by Owicki-Gries proofs
  - provide theoretical guarantee for commutativity
- Partial order reduction algorithms to check proof criterion
  - so far: based on *sleep set* algorithm
  - investigate other partial order techniques
- Empirical evaluation: effectiveness for verification
- Theoretical complexity result

Thank you for your attention.  
Questions?